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# **A P P U N T I**

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MATERIA: Power Generation from renewable sources (6CFU) -  
Prof. Spertino

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ATTENZIONE: QUESTI APPUNTI SONO FATTI DA STUDENTIE NON SONO STATI VISIONATI DAL DOCENTE.  
IL NOME DEL PROFESSORE, SERVE SOLO PER IDENTIFICARE IL CORSO.

STATE OF THE ART IN PHOTOVOLTAICS, - PROS and CONS of PV (photovoltaic)

LEZ. 1

The solar energy is several orders of magnitude greater than the consumption linked to the human activities  
 - It's very distributed around the world, unlike fossil energy  
 - it's renewable, and almost constant on yearly basis  
 - the power density is lower than other energy sources

The silicon (Si) is one of the most abundant source / material on the Earth, it's inexhaustible.

The increasing of temperature causes a decreasing of the efficiency

It isn't required a coolant cooling system → NO use of water

There isn't combustion

It's a direct converter of energy, there isn't a turbine, as in power plants, → NO maintenance due to consumption → long lasting

It doesn't contribute to the global warming

Type of Si and other materials used for PV modules

m-Si: mono crystalline Silicon  
 higher efficiency, higher cost, darker color

p-Si: poly crystalline / multi crystalline Silicon,  
 medium efficiency, medium cost, blue color

→ most used technology because it's a good compromise between cost and efficiency

a-Si: amorphous Silicon,  
 low efficiency, low cost

Technology	Efficiency
m-Si ; m-Si/a-Si	<del>13-20%</del> 15-21%
p-Si	<del>12-14%</del> 14-16%
a-Si ; a-Si/ $\mu$ c	<del>5-9%</del> 6-10%
CIS/CIGS	<del>10-11%</del> 11-13%
CdTe/CdS	<del>9-10%</del> 13-15%

The Si used for these technologies is very pure, about 99,9999% of Si, it's difficult to purify

CIS/CIGS: Copper-Indium-Selenium / Copper-Indium-Gallium-Selenium, but Indium and Gallium are rare on the Earth

CdTe / CdS: Cadmium-Telluride / Cadmium-Sulfide

THIN FILMS technologies, Silicon technologies are thicker

The source is the sunlight, the device is a static direct converter and it's near to the consumers → low transmission losses. It's recyclable (for info [www.pvcycle.org](http://www.pvcycle.org))

High cost of the electricity energy about 0,20 €/kWh

Drawbacks: - the device operates in DC, so we need another component to convert to AC → INVERTER, or to storage in batteries  
 - intermittent power production  
 - the installation cost is higher than other sources (about 1000-2000 €/kWh<sub>p</sub>)

The EPBT (energy payback time) is less than 3 years

If hypothetically, if we want to satisfy the yearly electricity consumption with PV, which surface would be necessary?

The productivity of a PV plant is 1800 [GWh/GW] in a year  
 In Italy the electric consumption is 330 [TWh], so we would need to install 275 [GW] of power in PV plants

To obtain 1 [GW] we need to cover with photovoltaic modules 10 [km<sup>2</sup>] of surface, so to satisfy the consumption we have to cover 2750 [km<sup>2</sup>], remembering that the Italian surface is 301278 [km<sup>2</sup>], it's the 0,91% of the Italian surface.

A suitable site/software is the PVGIS of JRC

Some typical parameters of PV modules are:

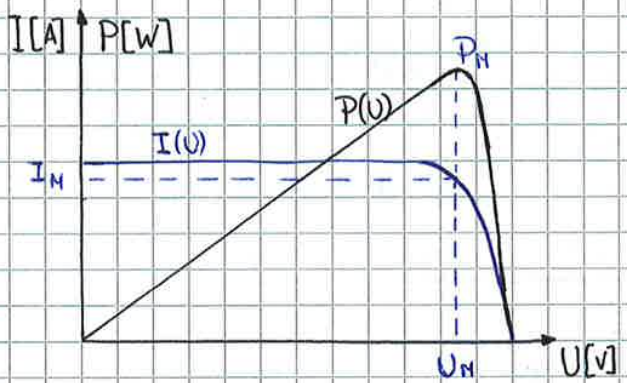
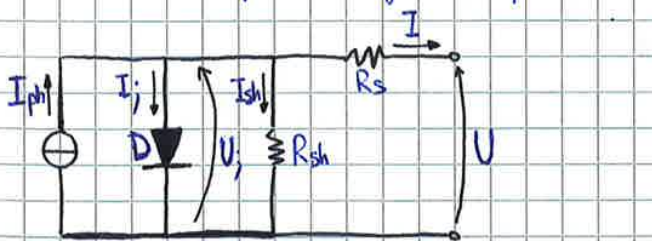
- the cell technology
- the rated power tolerance (rated stands for "peak") ( $\pm 3 \div 5\% / ^\circ\text{C}$ )
- the cell size and dimensions (156,25 cm<sup>2</sup> 243,36 cm<sup>2</sup>)
- the thermal power coefficient ( $\beta$ ) ( $-0,2 \div -0,5\% / ^\circ\text{C}$ )
- the Normal Operating Cell Temperature (NOCT) (45-65 °C)
- the voltage of the system (600-800-1000 V)
- the weight

The flash tests indicates measured data without uncertainty, obtained with sun simulators

Some typical values for a crystalline silicon technology at Standard Test Conditions (STC) ( $G=1\text{ kW/m}^2$ ,  $T_{\text{cell}}=25^\circ\text{C}$ )

- 1 cell:  $P_{\text{nom}} = 25 - 4\text{ W}$ ,  $U_{\text{nom}} = 0,6\text{ V}$ ,  $U_{\text{oc}} = 0,6\text{ V}$ ,  $J = 27-33\text{ mA/cm}^2$ ,  $S = 100-400\text{ cm}^2$
- 1 module with 60 cells:  $P_{\text{nom}} = 150-240\text{ W}$ ,  $U_{\text{nom}} = 30\text{ V}$ ,  $U_{\text{oc}} = 36\text{ V}$
- 1 module with 72 cells:  $P_{\text{nom}} = 180-260\text{ W}$ ,  $U_{\text{nom}} = 36\text{ V}$ ,  $U_{\text{oc}} = 43,2\text{ V}$

Just an anticipation of the equivalent circuit of a solar cell and the I-V curve



Current source  $I_{ph} = k \cdot S \cdot G$   
 irradiance

Junction current  $I_j = I_0 \cdot (e^{\frac{A^* U}{k_B T}} - 1)$

Current balance  $I = I_{ph} - I_j - U / R_{sh}$

Voltage balance  $U = U_j - R_s \cdot I$

$$A^* = \frac{q}{m k_B T}$$

mc-Si its production techniques are more simple and cheaper, obviously the quality is lower due to the presence of grain boundaries: these reduce solar cell performance by blocking carrier flows and providing shunting paths for current flow across the p-n junction

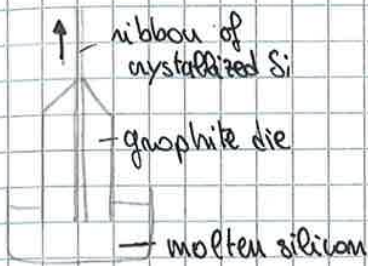
### WAFER SLICING

The ingot of silicon are very big, so they need to be cut into wafers

The ingot is ~~cut~~ sliced into bricks and these cut by a rotating wire saw into wafers

### EDGE DEFINED FILM FED GROWTH (EFG)

It's a process in which the slicing procedure is not needed



The EFG technique uses a die to define thickness of a sheet of silicon. Careful adjustment of the temperature profile of the graphite die causes the sheets of silicon to crystallize with large grains <sup>(polished, stamped)</sup>

For the production of p-Si technology it's used the "block casting" procedure in which silicon, through electricity, is fused in a graphite crucible, then is performed a directional crystallization. The ingot is now cut through a slice in a parallelepiped square-based ingots with a side of 15.6 cm.

Now the top and base of the ingot are cut because of the impurity. Finally ingot are cut into wafers with a multi-wire diamond saws (0.2-0.3 mm of thickness).

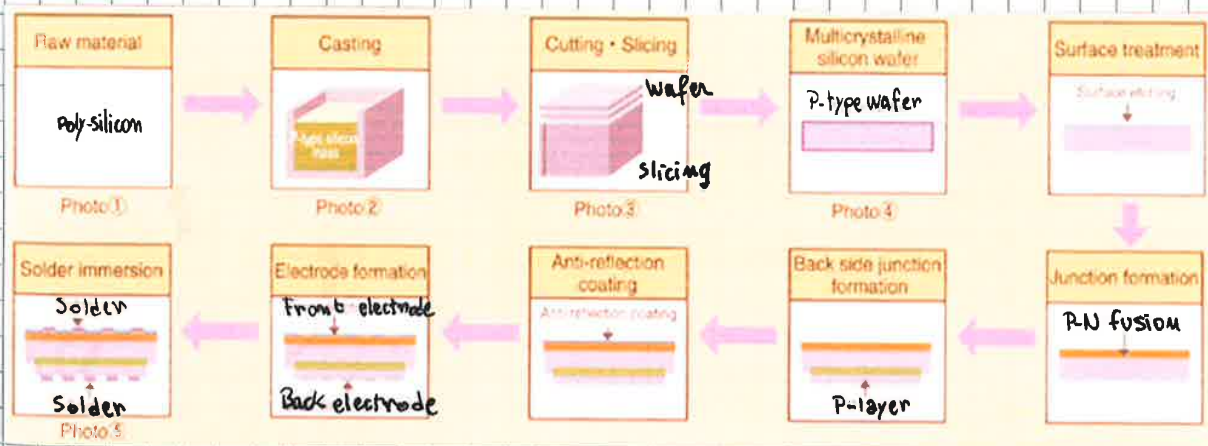
Unfortunately 80-85% of the material is lost.

During this process the silicon is p-doped (~~positron~~) with Boron.

After slicing the wafer has to be transformed in junction with a gaseous diffusion with Phosphorus in order to obtain a n-type (~~neutron~~) on one side: this doped layer is about 1 μm thick.

In order to reduce the reflection it's disposed an anti-reflection layer (Silicide or Fluoride)

Finally electrodes are produced: on the frontal side exposed to the sunlight is connected an electrode with serigraphy, in order to obtain an ohmic contact with the n-layer. On the other side a plate aluminium electrode is connected



## DOPING

- p → positive (~~positron~~) (hole)
- n → negative (~~neutron~~) (electron)
- i → intrinsic: no doping

The Staebler-Wronski effect : it causes the reduction of the efficiency during the life of the cell

(ex. for c-Si  $\eta = 8\%$  but after 2 years  $\eta = 6.5\%$  : -20% of efficiency)

For thin films this effect ~~is higher~~ causes bigger degradation than for the c-Si, instead CIS and CdTe don't present this degradation

### CPV systems (concentrated photovoltaic)

This technology needs DESERT condition : more than 200 days of very sunny conditions  $\rightarrow$  it uses only direct irradiation

For this point it needs also a sun-tracking system\* : it compensates high cost of maintenance, because it must be very accurate, the sunbeam must be perpendicular

For the high CPV are used the MULTI-JUNCTION cells

These are composed of different deposition layers of semiconductor material. They have 3 overlapping solar cells that are sensitive to different  $\lambda$

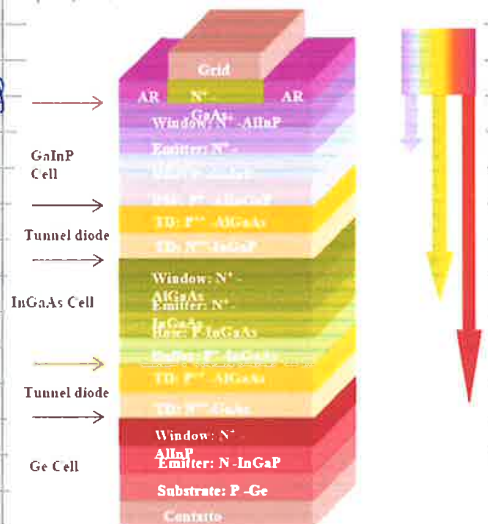
The interfaces between cells are made using tunnel diodes

The cells are in series to achieve more  $V$  with the same  $I$

$$\begin{aligned} V_{oc}(\text{multi}) &= 2.5 \text{ V} & I(\text{multi}) &= \frac{1}{2} I(\text{c-Si}) \\ V_{oc}(\text{c-Si}) &= 0.65 \text{ V} \end{aligned}$$

$$P(\text{multi}) = 2 P(\text{c-Si})$$

The best efficiency reached is 45%, but the theoretical limit is about 70%



\* it has two axes tracking : AZIMUT and TILT ANGLE

Generally the total of  $P_p$  (FCU) is lower than  $P_p$  (PV) because of the thermal losses (Joule effect)

During the sunrise we can connect step-by-step every slave till the noon, and in the afternoon we disconnect every slave till the sunset

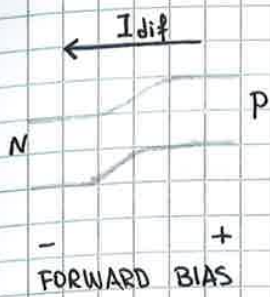
Required tasks for the grid-connected inverter:

- maximum power point tracker (MPPT)
- active and reactive power control ( $\cos \varphi = 1$ )
- grid interface protection

The grid connection takes place:

- with or without transformers (transformerless)
- at high (100 kHz) or low (50 Hz) frequency transformer





positive potential  $\oplus$  applied to the P layer  
 ↓  
 reduction of electric field  
 positive potential  $\oplus$  applied to the N layer → growth of the electric field



(The arrow represents the direction of the flow of the positive charges)

The junction works as a rectifier and his IV characteristic is given by:

$$I = I_0 \left( \exp\left(\frac{qU}{m k T}\right) - 1 \right)$$

where  $I_0$ : saturation current  
 $q$ : electron charge  
 $U$ : voltage

$m$ : quality factor of the junction  
 $k$ : Boltzmann constant  
 $T$ : temperature

## THE PHOTOVOLTAIC EFFECT

The radiation is able to reach the p-n junction (depletion region) because the N layer is very thin ( $\sim 1 \mu\text{m}$ ).

The diffusion causes the very high electric field ( $\sim 1 \text{ V}/\mu\text{m}^2$ ;  $\sim 1 \text{ MV}/\text{m}$ )

The photoelectric conversion of the solar energy is based on the quantum nature of the light, seen as a flux of photons which transport the energy

$$E_{ph}(\lambda) = h c / \lambda \quad h: \text{Planck constant} \quad c: \text{light speed} \quad \lambda: \text{wavelength}$$

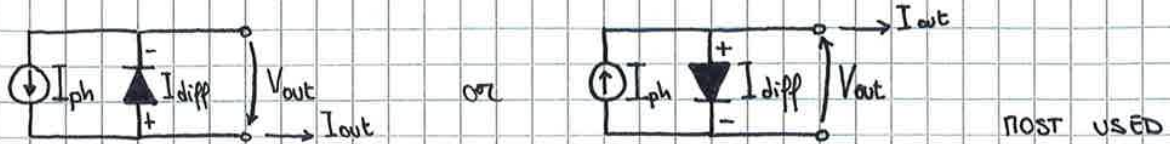
In a sunny day about  $4,4 \cdot 10^{17}$  photons invest every second a square centimeter of the Earth.

Only the photons with energy  $E_{ph} > E_{gap}$  (energy jump between valence band and conduction band) are converted into electricity. When one of these photons joins the semiconductor it can be absorbed and can promote an electron from the valence band to the conduction one. This process creates ELECTRON/HOLES PAIRS, because in the valence band is formed a hole.

Every semiconductor converts only a part of the solar spectrum: a portion of the energy of the photons is lost in the absorption process. It has been observed that every electron-hole pair has an excess of energy with respect to the energy gap. Immediately after the emission, the electron decodes (in terms of energy) near the borders of the respective band. The energy in excess is lost as heat and it can't be converted in power. This is one of the mechanism of losses

## THE CURRENT-VOLTAGE CHARACTERISTIC

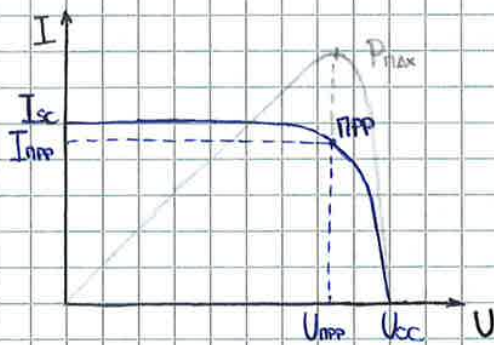
A solar cell under the G action can be represented by these equivalent circuit (with active sign convention, because is a source)



The connection of the diode is in FORWARD BIAS

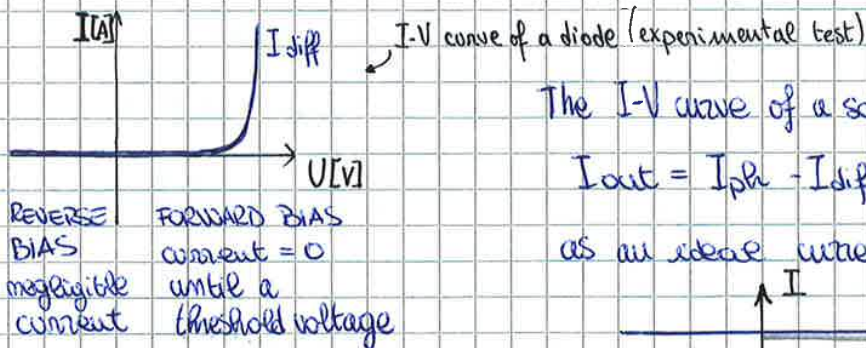
In a solar cell the photovoltaic current  $I_{ph}$  is produced and it's proportional to  $G$  and the diode current is subtracted, due to the diffusion, which increases with voltage up to the balance value  $V_{oc}$  in open circuit condition

$$I_{out} = I_{ph} - I_{diff}$$



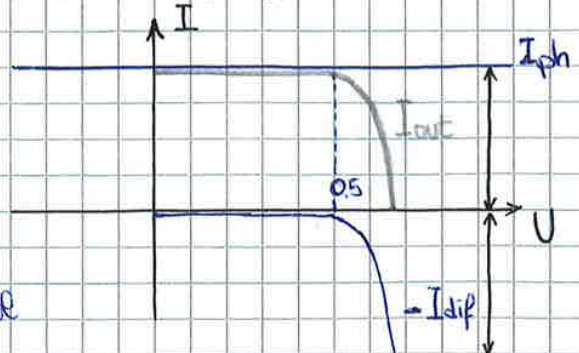
The current-voltage characteristic of an irradiated cell is non-linear and is defined by:

- $I_{mpp}$  : maximum power point current
- $U_{mpp}$  : maximum power point voltage
- $I_{sc}$  : short circuit current
- $V_{oc}$  : open circuit voltage



REVERSE BIAS: negligible current  
 FORWARD BIAS: current = 0 until a threshold voltage

The I-V curve of a solar cell is given by  $I_{out} = I_{ph} - I_{diff}$  where  $I_{ph}$  is seen as an ideal current source



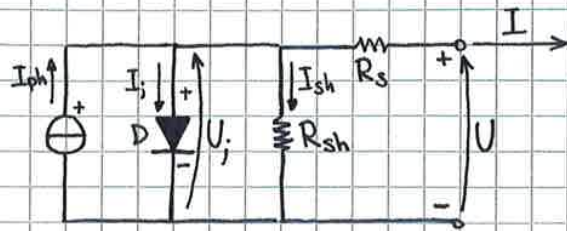
In the solar cell the threshold voltage is about 0.5 V

When the two heights  $\updownarrow$  are equal

$\rightarrow$  we have open circuit current  $\rightarrow I_{out} = 0$   
 and open circuit voltage  $V_{oc}$

When  $I_{out} = I_{sc}$  or  $V_{out} = V_{oc} \rightarrow P = 0$

## THE EQUIVALENT CIRCUIT

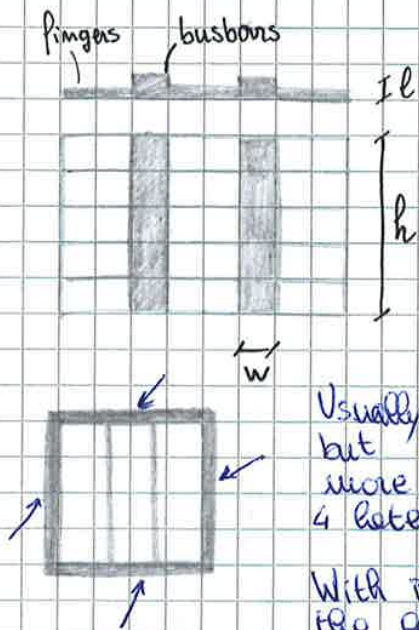


This equivalent circuit is a good compromise between accuracy and simplicity

The ideal current source and the diode represent the solar cell

The shunt resistance  $R_{sh}$  represents the current losses by the cell with the lateral plate. It can be increased increasing the isolation of the lateral surfaces.

The series resistance  $R_s$  represents the resistance of the front electrode. It can be decreased increasing the numbers of busbars on the front contact.



$$R_{bus} = \rho \frac{l}{S}$$

where  $S = h \cdot w$

$$R_s = \frac{R_{bus}}{2} \text{ or } \frac{R_{bus}}{3}$$

with 2 or 3 busbars

$R_s$  eq is given by the busbars and the fingers, the most important is the busbar contribution

Usually are used big surfaces  $\rightarrow$  more busbars (2 or 3) but this increase the lateral surface  $\rightarrow$  we need more isolation, because it's necessary to isolate the 4 lateral surfaces of the solar cell

With isolation the shunt resistance  $R_{sh}$  increase and the leakage current  $I_{sh}$  decrease

$R_s$  should be as low as possible ( $1 \approx 100 \text{ m}\Omega$ )

$R_{sh}$  should be as high as possible ( $1 \approx 100 \Omega$ )

### EQUATIONS

JUNCTION CURRENT

$$I_j = I_0 \cdot \exp\left(\frac{q U_j}{m k_B T}\right) - I_0$$

$q$ : electron charge  
 $m$ : quality factor  
 $k_B$ : Boltzmann constant

$$I_j = I_0 \left( e^{\frac{q U_j}{m k_B T}} - 1 \right)$$

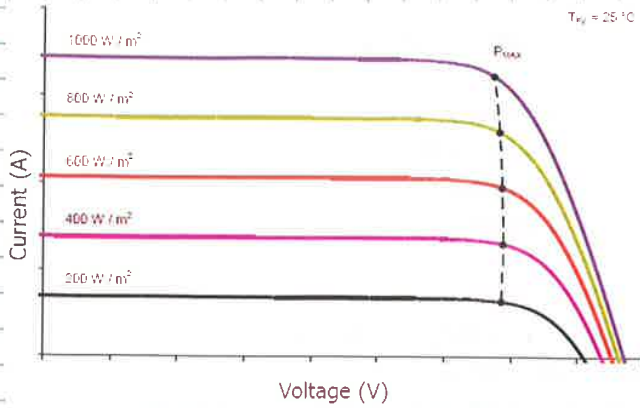
$$I = I_{ph} - I_j - \frac{U_j}{R_{sh}}$$

$$I_{ph} = k_{mat} \cdot G \cdot A$$

$A$  = surface of the cell

$$U = U_j - R_s \cdot I$$

The I-V curve changes with the irradiance and with the temperature



If  $G$  decreases  $I_{sc}$  decreases proportionally, while  $V_{oc}$  decreases with a logarithmic behaviour

$$I_{ph} = k_{mat} \cdot G \cdot A$$

$$I_{sc} \approx I_{ph} \quad I_{sc} = \frac{R_{sh}}{R_{sh} + R_s} I_{ph}$$

but  $R_s \ll R_{sh}$

and  $I_{di}$  is negligible in forward bias

What's the dependence of  $V_{oc}$  with  $G$ ? To see it let's look at the open circuit condition, we can neglect the  $R_{sh}$



$$I_{ph} - I_0 \exp\left(\frac{qV_{oc}}{mk_B T}\right) + I_0 = 0$$

$$\frac{I_{ph} + I_0}{I_0} = \exp\left(\frac{qV_{oc}}{mk_B T}\right)$$

$$\frac{qV_{oc}}{mk_B T} = \ln\left(\frac{I_{ph} + I_0}{I_0}\right)$$

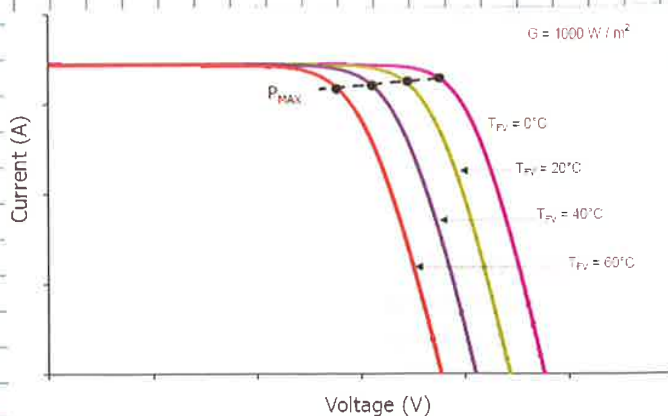
$$V_{oc} = \frac{mk_B T}{q} \ln\left(\frac{I_{ph} + I_0}{I_0}\right)$$

$V_{th}$

$V_{th}$  is called equivalent thermal voltage

$$I_{ph} = 5 \pm 8 \text{ A} \quad I_0 \approx \mu\text{A} \rightarrow V_{oc} \approx V_{th} \ln\left(\frac{I_{ph}}{I_0}\right)$$

This figure focuses also on the MPPs (black points), it's almost a vertical line so  $V_{oc}$  it's almost constant for  $G$  variation



temperature of the cell

If  $T_c$  increases:

- $I_{sc}$  has a slight increment, due to the reduction of the energy gap
- an increase of  $I_j$  in the diode and a consequent reduction of the  $V_{oc}$

These variations cause a global decrement of the  $P_{max}$

$$\alpha_{I_{sc}} = \frac{dI_{sc}}{dT} = +10 \frac{\mu\text{A}}{\text{cm}^2 \cdot ^\circ\text{C}}$$

$$\beta_{V_{oc}} = \frac{dV_{oc}}{dT} = -2,2 \frac{\text{mV}}{^\circ\text{C}}$$

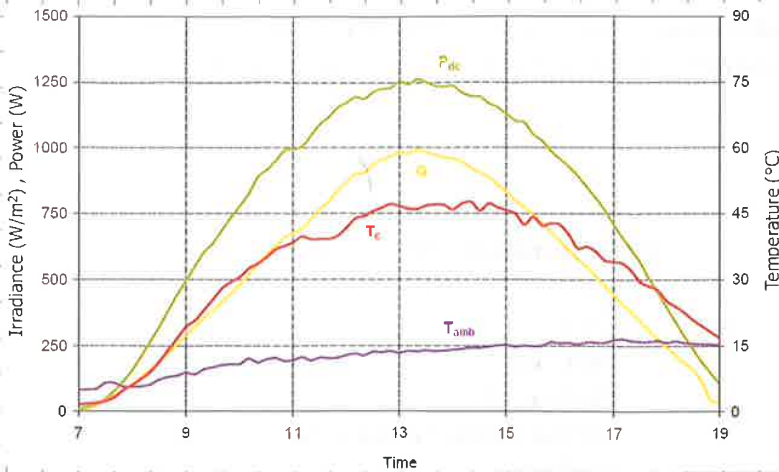
$$\gamma = \frac{dP_{in}}{P_{in} dT} = -0,45\% / ^\circ\text{C}$$

from STC

$T = 25^\circ\text{C}$

In the applications, often, it's believed that  $I_{sc}$  depends only from  $G$ , and that  $V_{oc}$  depends only from  $T$ .

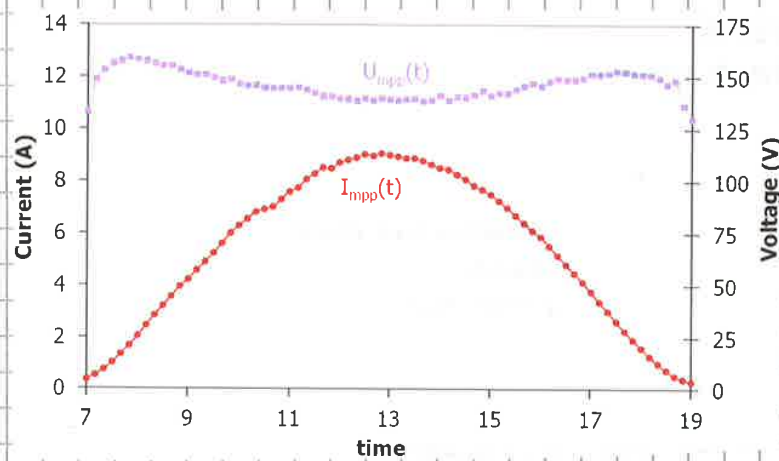
# SIMULTANEOUS VARIATIONS of G and T in a day



In this example  
 $T_c$  is measured behind the module  
 The  $\max(T_c)$  is near the  $\max(G)$   
 The evolution of  $P_{max}$  is similar to the evolution of  $G$  but,

$P_{rated} = P_{peak} \approx 1.5 \text{ kW (STC)}$ ,  
 $\max(P_c) \approx 1.25 \text{ kW}$

This difference is caused by the thermal losses due to the  $T_c$



To have the  $\max(U)$  is necessary only a little  $G$

## MISMATCHING

Every c-Si solar cell, with rated irradiance, supplies voltage of 0.5-0.6V and a current, proportional to the surface, with current density  $J_{sc} = 25-35 \text{ mA/cm}^2$  (for a cell with a side = 15,6 cm  $\rightarrow I_{sc} = 6-8,5 \text{ A}$ )

However the loads usually used require voltage and current higher than those given by a single cell; to achieve the required power, it's necessary to connect several cells in series and in parallel.

The ~~worst~~ mismatch occurs when one or more cells supply a fewer current with respect to the others. (mismatch of the different I-V curves)

The most common causes of mismatch of I-V curves of a group of solar cells are:

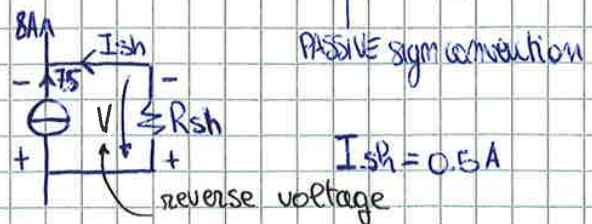
- 1) manufacturing tolerance
  - 2) manufacturing defects
  - 3) partial shading
- } these two are always present

Let's consider the case in which  $N_s - 1$  solar cells in series supply 8A and only 1 (the weakest) supplies only 7.5A.



the first  $N_s - 1$  current source ~~are~~ are connected with the ACTIVE sign convention

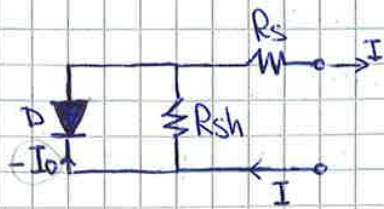
But the last, supplying only 7.5A, becomes a load, and the difference (0.5A) is the current in the resistance.



Consequences:

- Output power decreases (as we can see in the next figure)
- The lost power becomes a load,  $\rightarrow$  heat it's possible that the cell exceed the thermal limit, if it lasts for a long time

For a shaded cell the equivalent circuit is almost this:



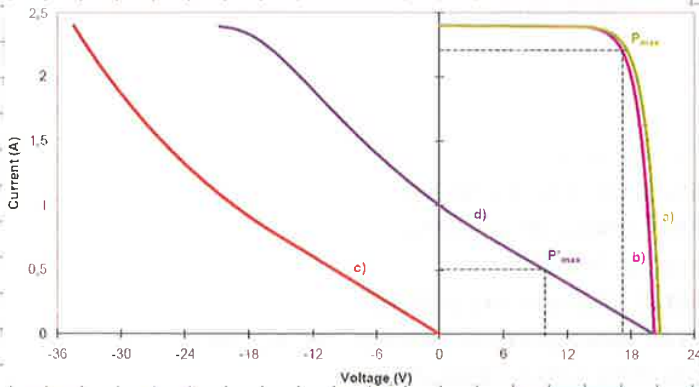
so we can represent it only with ~~the~~  $R_{sh}$ , because  $I_0$  is negligible and  $R_{sh} \gg R_s$

in a diode  $I = I_0 (\exp(\frac{qU}{kT}) - 1)$

The voltage drop is linear with  $R_{sh} \cdot I$

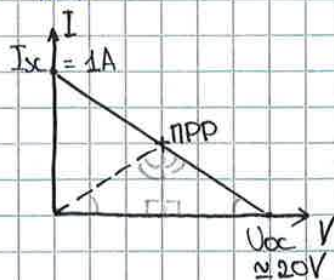
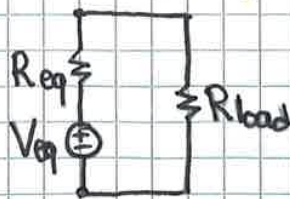
(In the figure in the previous page we can see the different "shaded cell" behaviour of different technologies (m-Si, p-Si, a-Si) Summing these with the "not-shaded" cells we can see that for m-Si we will have more losses than p-Si and a-Si)

NUMERICAL EXAMPLE 36 p-Si cells, 1 shaded



- c) is the shaded cell
- b) Ns-1 normal irradiated cells
- d) resulting curve
- a) Ns normal irradiated cells (NO SHADE)

The behaviour of the resulting curve is a Thevenin equivalent circuit, so it's easy to find TPP



$R_{eq} = \frac{20V}{1A} = 20 \Omega$  (not so high for a  $R_{sh}$ )

The TPP is found when  $R_{load} = R_{eq}$  (when the two triangles are equal)

so  $U_{TPP} = 10V$      $I_{TPP} = 0.5A$      $P_{max} = 5W$

In shaded condition

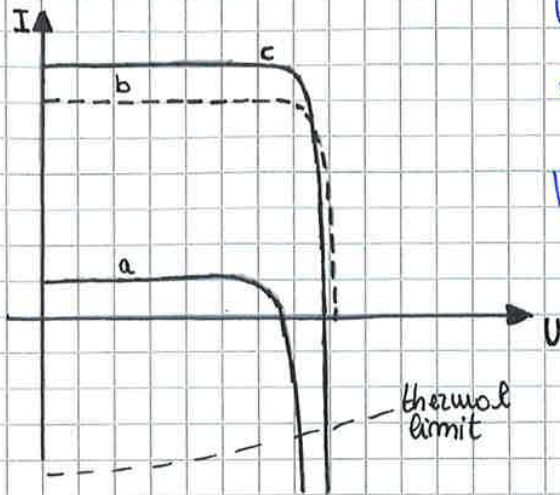
m-Si	$P = 0W$	$P_{max} = U_{TPP} \cdot I_{TPP} = \frac{1}{2} I_{sc} \cdot \frac{1}{2} U_{oc} =$
p-Si	$P \neq 0 \approx 5W$	

$= 0.25 \cdot (U_{oc} - I_{sc})$

FF = 25% ←

## MISMATCH in parallel connection

Likewise in series connection, with  $N_p$  parallel connected cells of one cell has a defective IV curve the resulting curve (c) is given by the sum of the  $N_p - 1$  currents of the normal cells (b) with the one of the shaded (a)



In parallel the risk of the shadow effect is not in terms of reverse voltage, but a generation of reverse current  $\rightarrow$  4<sup>th</sup> quadrant: there isn't the breakdown voltage risk, only HOTSPOT can occur.

The reverse current can flow in  $R_{sh}$  and in  $D_p$ , as a diffusion current.

For example it can occur when a module has less  $U_{oc}$  (12 against 13), the reverse current flows in the defective one.

The resulting curve will have

$$U_{oc} = (U_{oc})_{min} \rightarrow 12V$$

$$I_{sc} = \sum_{i=1}^{N_p} I_{sc_i}$$

The worst condition is in open circuit, because the shaded cell must absorb the current of the irradiated cells.

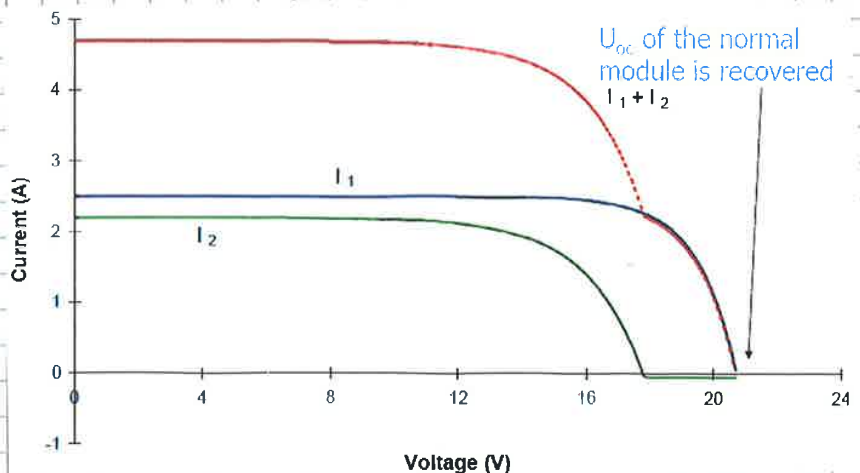
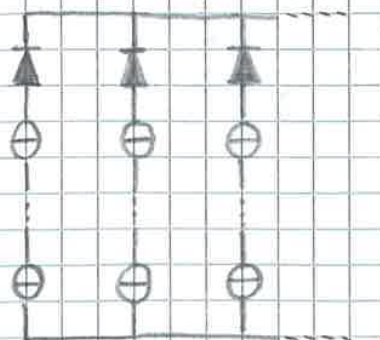
It can occur that it works the 4<sup>th</sup> quadrant reaching the hotspot point, after that the cell fails and the module works without it.



To prevent this reverse current we can connect in series a BLOCKING DIODE  $D_s$  with the direction of the PV current.

This diode can avoid that the defective cell operates as a load.

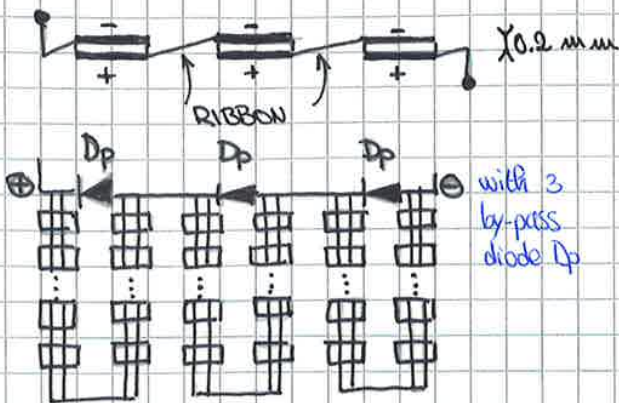
As for the bypass diode it's impossible to connect at each cell a blocking diode; so generally a diode is connected at every series of cells.





## STRUCTURE and SPECIFICATIONS of PV

A PV module is composed of a number of solar cells connected in series or in parallel (for example 36 or 72)



The ribbon is the connection of the bus bars of the previous cell back contact with the next cell front bus bar

It's necessary to protect these ribbons by

- away agents
- 30m blaus
- rain
- snow
- wind
- humidity

This is the most popular configuration

The typical structure of a PV module is the following:



To protect the module we put top and down an EVA polymeric layer (Ethylene Vinyl Acetate) against the humidity (it's similar to a glue)

The glass is very transparent to the light

A frame in aluminium is useful to fix the module to a structure; if the PV module is integrated there isn't the frame

The sealant gasket is used to avoid water touching the cells

The building integrated PV (BIPV) has 2/3 glasses (1 top - 2 bottom) to better isolate the module, but 3 it's very rare (they contains also Argon)

On the bottom there is a polymeric layer of TEDLAR, not transparent material.

The Nominal Operating Cell Temperature (NOCT) is the equilibrium temperature of a solar cell in a module, placed at the sun, in standardized conditions:

- irradiance  $G = 800 \text{ W/m}^2$  ( $AH = 1.5$ )
- ambient temperature  $T_a = 20^\circ\text{C}$
- wind speed  $v = 1 \text{ m/s}$

typical values, with this definition, are  $45 - 50^\circ\text{C}$

This definition is for a free-standing installation, in the future we will need a new definition of NOCT for BIPV, because it will be higher of several degrees.

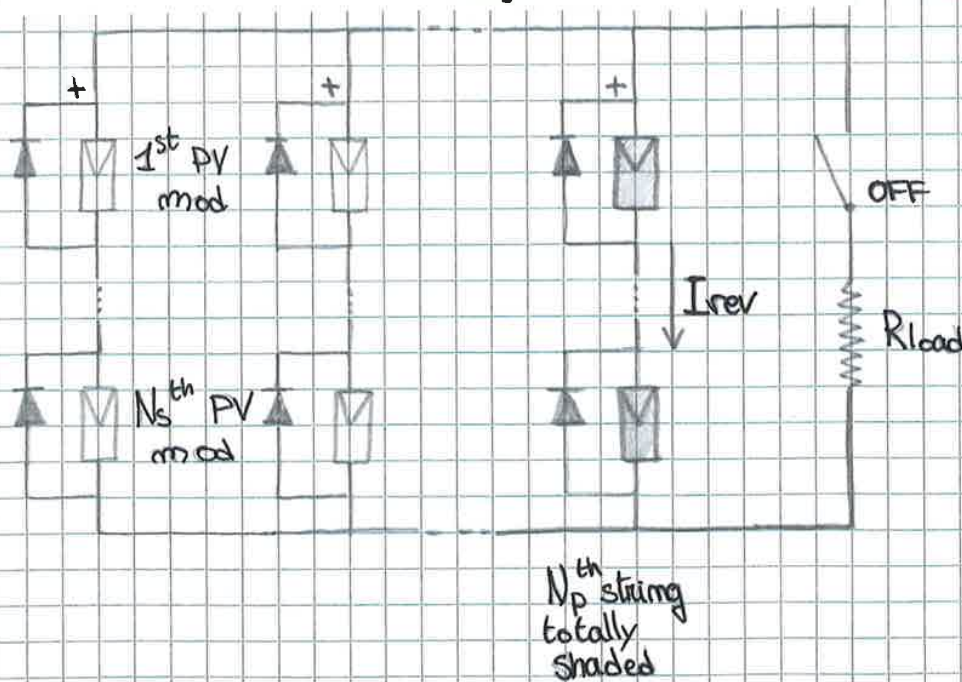
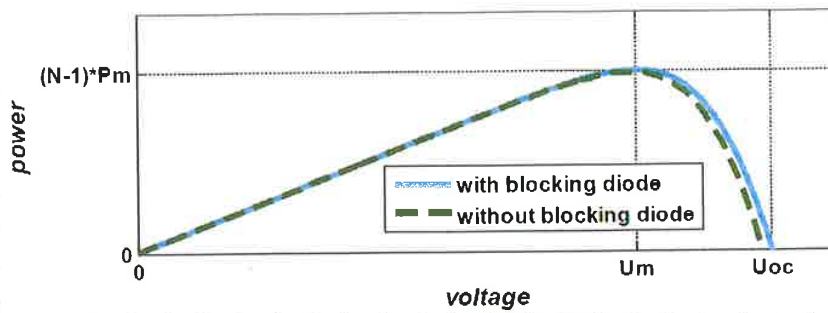
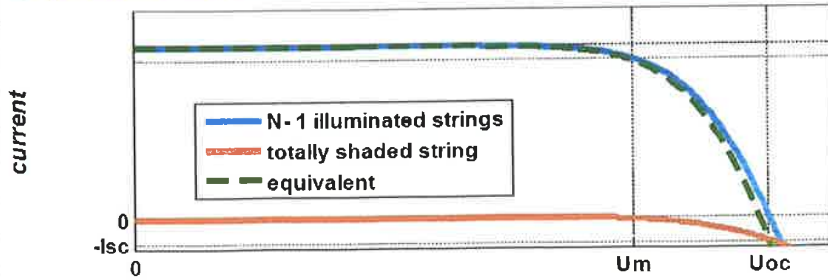
$$T_c = T_a + \frac{\text{NOCT} - 20^\circ\text{C}}{800 \text{ W/m}^2} \cdot G [\text{W/m}^2]$$

It's possible to avoid the blocking diode, because the installation causes losses?

In absence of  $D_s$  a shaded string will work passively and absorbs a reverse current.

The  $I_{rev}$  becomes max during the no load operation.

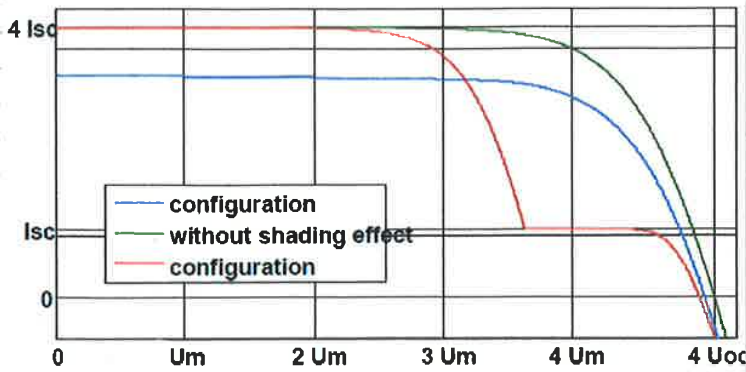
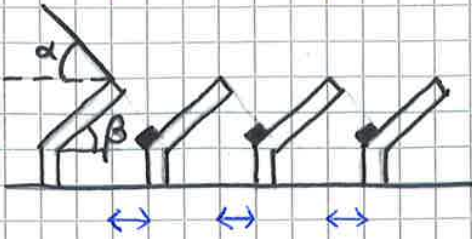
The power losses by a complete shaded string during the normal running at TPP are negligible: this assumption is valid if there aren't short circuit failures between the modules.



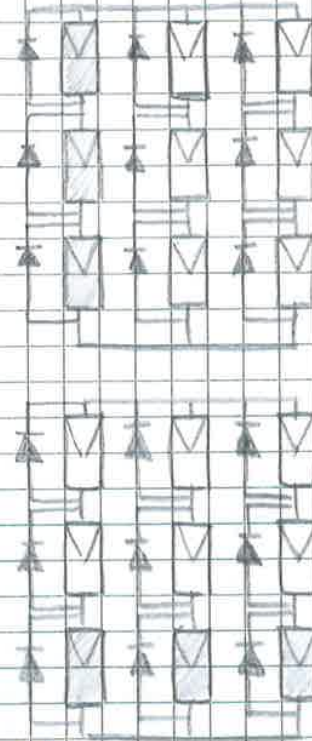
It may be that also for a common configuration as for a flat roof ground level

the shading effect occurs.

Maybe that the distance between the modules is not sufficient to avoid the shading effect.

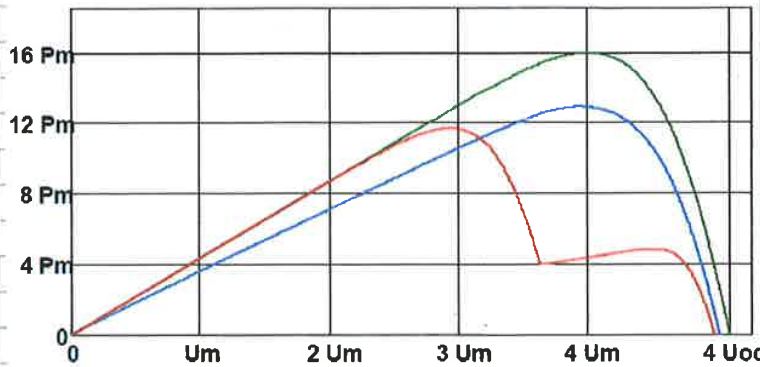


This figure shows the difference between the case in which is shaded a string or a module for each string.



A) in this configuration is not necessary the  $D_s$

B)



As we can see the curve A) has the  $U_{mp}$  equals to the ideal condition, while the B) has the  $U_{mp}$  very different from the usual condition c)  $\sim 3 \cdot U_m$

The configuration A) provides more Power

## THE OPERATING PRINCIPLE of INVERTER and PAPT

Older inverters were based on SCR silicon controlled rectifiers (or thyristors).

They were able to transfer the power from DC side to the AC side only with the line voltage/line commutated)

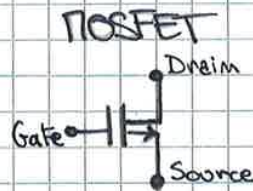
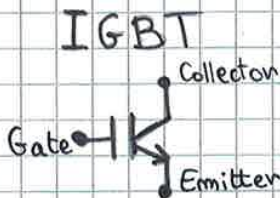
Thyristors are forward bias switch ON (thyristors only after a delay with respect to the reference signal: the reactive power is absorbed from the network; in addition these can't be controlled on the opening, but they are switched off only after the voltage zero crossing of current.



Newer inverters ~~use~~ use the MOSFETs.

They permit to generate the alternating waveforms and transfer power from DC side to AC side in absence of line voltage (SELF-COMMUTATED)

The power transistors (MOSFET and IGBT) are electronic switches, closing and opening controlled, with lead and lag angles in regards to a reference signal generated by a "clock" signal inside the converter. It's possible to have reactive power, INDUCTIVE or CAPACITIVE, with low harmonic content waveforms



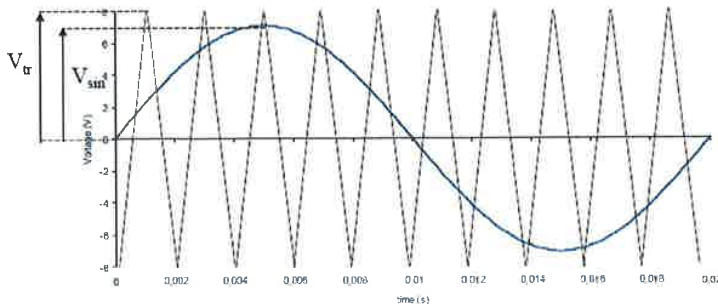
The MOSFETs have low losses ( $I_{GATE} \approx 0$ ) and the conduction losses

$$IGBT) V_{CE} \cdot i_c$$

$$MOSFET) R_{DS} \cdot i_D^2$$

After the transistor ON/OFF mode is in accordance with the PWM technique (Pulse Width Modulation)

A comparison between a triangular waveform generated with a quartz clock (the carrier) and a sinusoidal waveform (the modulating) is implemented.



The carrier waveform has high frequency (1-100 kHz) while the modulating has 50 or 60 Hz.

Control parameters are the modulation index  $m \leq 1$  and the phase angle of the modulating waveform.

If  $m > 1$  (overmodulation) the conversion is irregular

$$\text{Modulation index } m = V_{sin}/V_{tr} < 1$$

The galvanic separation is obtained only with LF transformer (it's the electrical isolation between primary and secondary circuit).

The HF transformer has parasitic capacitance parameters between primary and secondary and they don't ensure the galvanic isolation.

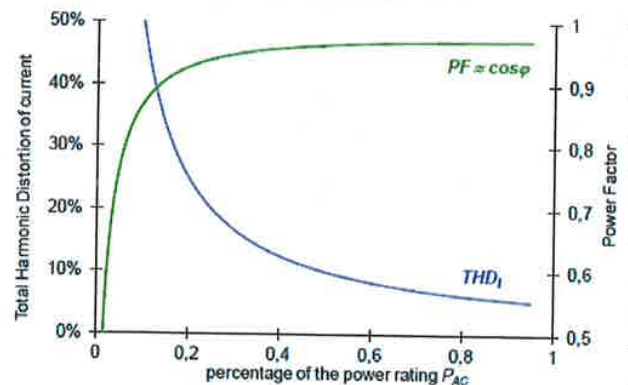
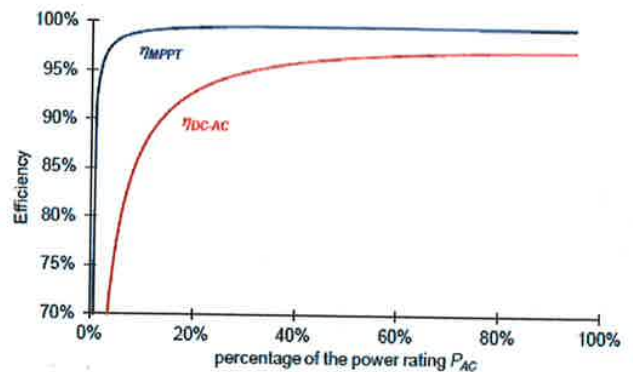
Typical specifications for grid-connected inverter:

- High conversion efficiency ( $\eta > 90\%$ ) and low no-load losses
- power factor  $PF = \cos\phi > 0.9$
- Low Harmonic distortion ( $THD < 5\%$ )
- maximum-power-point tracking with high accuracy
- ability to limit the input power from PV generator shifting the operating point away from the MPP
- low ripple on the DC voltage
- automatic turn ON turn OFF with low inductance thresholds.

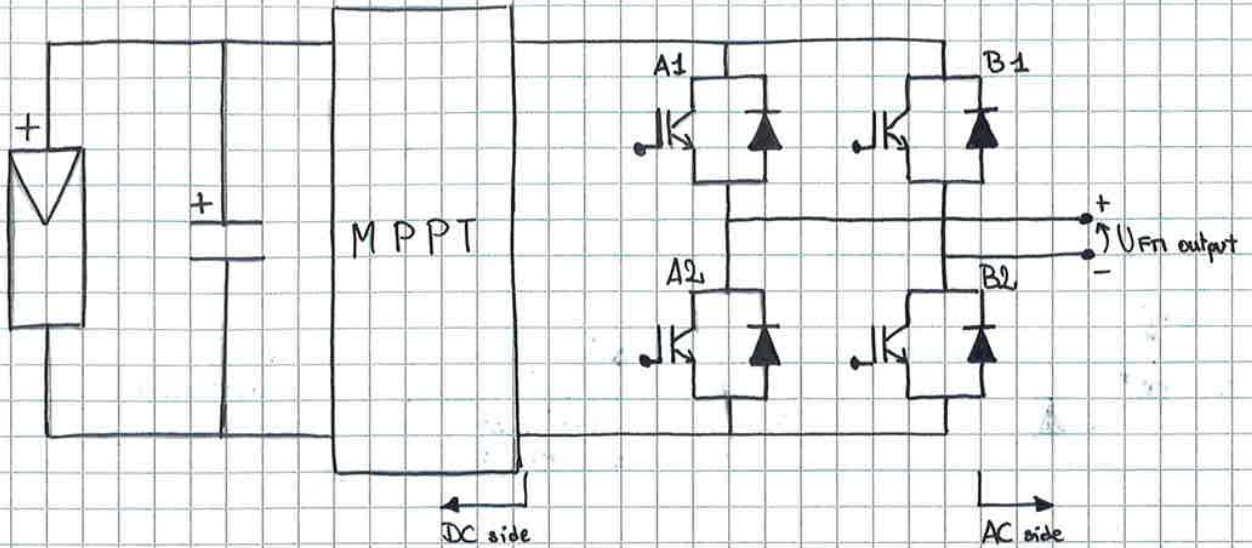
The inverter with transistors is able to provide the active and reactive power in absence of line voltage.

If the network is disconnected the inverter with MPPT, working at  $PF \approx 1$ , is unable to provide the flow of active/reactive power required by the load. Voltage variations are induced.

These voltages disturbances are timely detected by the interface relay that turns OFF the inverter.

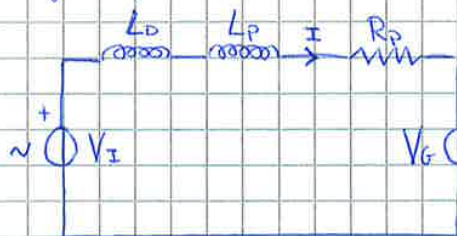


let's consider



- if  $U_{Fm} > 0$  : A1 and B2 are connected to the output, without the diodes
- $U_{Fm} = 0$  : A1 without diode, B1 with diode
- $U_{Fm} < 0$  : B1 and A2, without diodes
- $U_{Fm} = 0$  : B1 without diode, A1 with diode  
or B2 with diode, A2 without diode

$$P_{AC} = \underbrace{V_{AC}}_{\text{grid voltage}} \cdot I_{AC} \cdot \cos \varphi$$



$L_p$  and  $R_p$  are parasitic parameters

The lead angle  $\beta$  of the phasor  $\vec{V}_I$  to ensure that the current phasor  $\vec{I}$  is in phase with the voltage ~~value~~ of phasor  $\vec{V}_G$

$L_D$  is chosen on the design stage

$$V_I I \cos \beta = V_G I + R_p I^2$$

$$V_I I \sin \beta = X_L \cdot I^2$$

$$P_I = V_I \cdot I_{AC} \cdot \cos \beta = P_{GRID} + R_p I_{AC}^2$$

$$P_I = \eta_{MPPT} \cdot P_{max} = V_G \cdot I_{AC} + R_p I_{AC}^2$$

$$Q_I = V_I I_{AC} \sin \beta = X_L \cdot I_{AC}^2$$

$$L_D \gg L_p \quad X_L = \omega(L_D + L_p)$$

$$\tan \beta = \frac{Q_I}{P_I} \quad \beta = \tan^{-1} \left( \frac{Q_I}{P_I} \right)$$

$\beta$  is the lead angle between  $\vec{V}_{inverter}$  and  $\vec{V}_{grid}$ , not  $\varphi$  (between  $\vec{V}_G$  and  $\vec{I}_G$ )

## How to evaluate the energy

Therefore, a new meaning of symbols in the productivity formula is proposed. The new procedure aims to reduce uncertainties in the energy assessment and includes two phases:

- two experimental tests;
- development of the environmental variables database;

As for the equivalent hour  $h_{eq}$  parameter the local agency for environmental protection (ARPA) provides the irradiance and the ambient temperature data

In order to evaluate the irradiance value at the tilt angle and the over-temperature losses in terms of a certain  $k_{TEMP} = 1 + \beta_p (T_e - 25^\circ C)$  factor, a simple calculation can be performed

$$EAC = P_{in} \cdot h_{eq} \cdot k_{TEMP} \cdot \eta_{PCU}$$

## AUTOMATIC DATA ACQUISITION SYSTEM (ADAS)

ADAS is based on a DAQ board with 16-bit resolution, 8 differential channels, 50 kSample/s sampling rate per channel. It's checked in the POLITO calibration laboratory. ADAS is suitable for DC/AC measurements (FFT up to the 50<sup>th</sup> harmonic). The ranges are extended to 1000 V<sub>pk</sub> and 2000 A<sub>pk</sub> with typical values of uncertainty

$$\begin{aligned} & \pm 0.1\% \text{ for } V \\ & \pm 0.5-1\% \text{ for } A \\ & \pm 0.6-1.1\% \text{ for } P \\ & \pm 2.5\% \text{ for } G \end{aligned}$$

## Experimental results and measurements

Calibrated solar cell is used as irradiance sensor. In order to determine the noted power  $P_{in}$  in operating conditions, measured IV curves are extrapolated to the STC

$$U_2 = U_1 - R_s (I_2 - I_1) - k I_2 (T_2 - T_1) + \beta (T_2 - T_1) \quad \begin{array}{l} 1) \text{ means measuring} \\ \text{conditions} \end{array}$$

$$I_2 = I_1 + I_{sc1} \left( \frac{G_2}{G_1} - 1 \right) + \alpha (T_2 - T_1) \quad \begin{array}{l} 2) \text{ means STC} \end{array}$$

From the measurement  $P_{in} \approx 18 \text{ kW}_p$ , from the manufacturer  $P_n \approx 20 \text{ kW}_p$

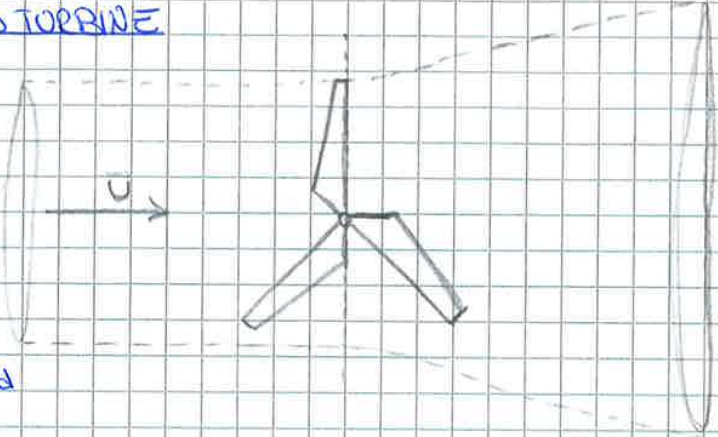
Some losses are yet contained in the measurement for example mismatch or losses in the cables

The expected power normally is  $P_{EXP} = 92\% P_{in}$  if  $P_{in} < P_{EXP}$  the experimentation isn't acceptable

# WIND POWER

## ENERGY CONVERSION in a WIND TURBINE

Regarding a horizontal-axis wind turbine, these phenomena occur in a stream tube with stationary flow:



1. surface expansion
2. kinetic energy ~~production~~ reduction
3. pressure increments before and after the blades

By applying Bernoulli's principle

$$\text{Energy} = \frac{1}{2} m u^2 + mgh + p \cdot \text{Vol}$$

- $m$  = mass
- $u$  = wind speed
- $g$  = gravitational acceleration
- $h$  = height
- $p$  = pressure
- $\text{Vol}$  = volume

$$\text{useful work} \approx \frac{1}{2} m u_1^2 - \frac{1}{2} m u_2^2$$

$$\text{Continuity equation for mass } \dot{m} = \text{const} = \rho \cdot u \cdot A = \text{const}$$

An air mass, with density  $\rho = 1,225 \text{ kg/m}^3$  (at sea level with  $15^\circ\text{C}$ ), speed  $u$  through a cross section of area  $A$  has a Power density

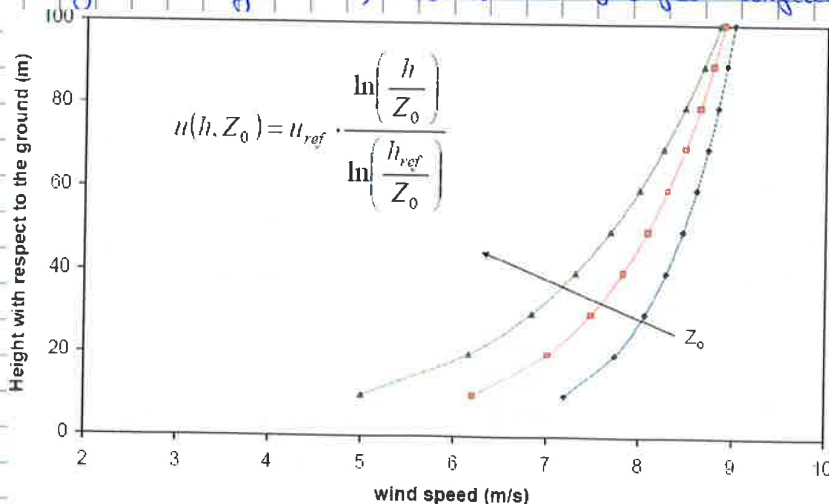
$$\frac{P}{A} = \frac{1}{2} \rho u^3 \quad [\text{W/m}^2]$$

The air density is function of temperature  $T$  and pressure  $p$ ; it decreases with rises in height with respect to the ground

$$p = \frac{p}{R_0 \cdot T} \quad R_0 = 287,05 \frac{\text{J}}{\text{kg} \cdot \text{K}} \text{ is the gas constant for dry air}$$

The wind parameters are speed and direction; it's important to collect these data and store it.

The data are transferred at the hub height, since wind speed depends on height, with positive gradient (wind shear), and on terrain roughness (roughness height  $Z_0$ , low value for flat surfaces)





The maximum mechanical power of a HAWT horizontal axis wind turbine is imposed by the BETZ LIMIT

Before and after the blades the wind must conserve the  $q_m$

$$\rho V_1 A_1 = \rho V_2 A_2$$

The force given to the rotor it's equal to the variation of  $q_m$  between before and after

$$F \cdot t = m \cdot (V_1 - V_2) \quad F = \frac{m}{t} (V_1 - V_2) = q_m (V_1 - V_2)$$

where  $F$  is the horizontal force expressed by the wind to the blades, and so to the tower. The rotor power is

$$P = F \cdot V = q_m \cdot V (V_1 - V_2) \quad \text{and doing the balance of the kinetic energy we found}$$

$$P = q_m \left( \frac{V_1^2 - V_2^2}{2} \right) \Rightarrow V = \frac{V_1 + V_2}{2}$$

We can define an interference coefficient (of the rotor on the wind)

$$a = 1 - \frac{V}{V_1} \quad V = V_1(1-a) \quad \text{it's an index of how much the wind}$$

speed decreased passing through the blades.

$$V_2 = V_1(1-2a)$$

We can write

$$P = \rho A V_1 (1-a) \left( \frac{V_1^2 - V_1^2(1-2a)^2}{2} \right) = \rho A V_1^3 2a(1-a)^2$$

Searching for the maximum we find two values  $a=0$  (it means no power)

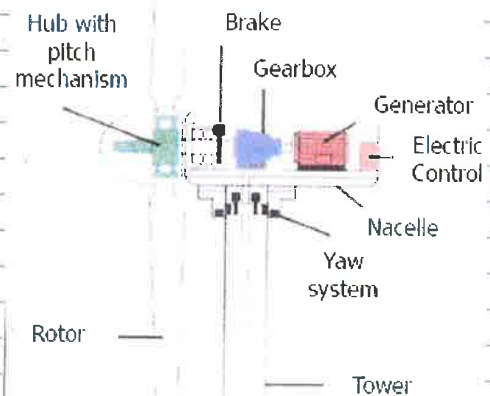
$$\text{and } a = \frac{1}{3} \quad \text{After substitution of } a \quad P = \frac{8}{27} \rho A V_1^3, \text{ that is}$$

about  $\frac{16}{27}$  of the theoretic power ( $\approx 59\%$ )

Turbines are placed at heights up to 100m with respect to the ground by TOWERS.

The blades of fiberglass or carbon-fiber (with diameters 30-100m) are designed so as to maximize the lift. The hub has to rotate the low-speed shaft (10-30 rpm) the gearbox must rotate the high-speed shaft (1000-1500 rpm)

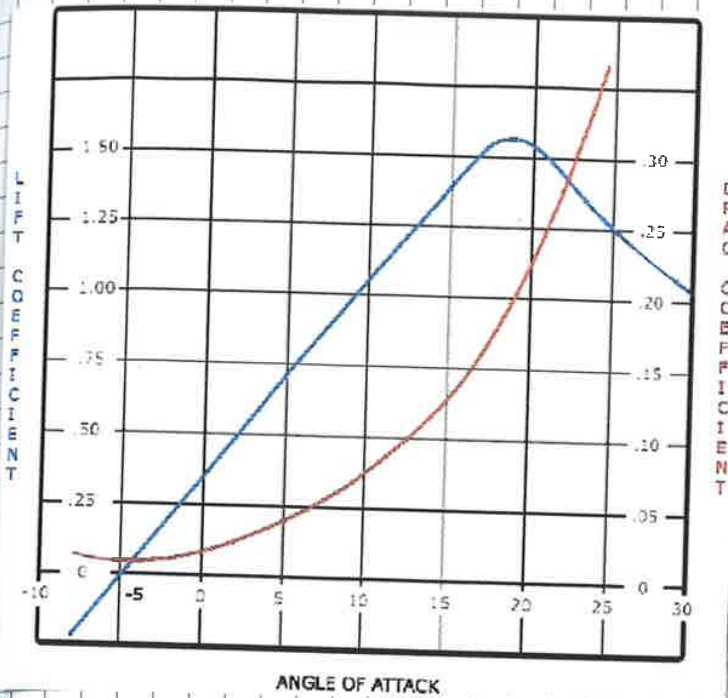
The high-speed shaft provides the torque to the electric generator. All the components are into the NACELLE.



Typical mechanicals regulations are: pitch control

of the blades and yaw control. The pitch control can be towards

the stall or the feather. The yaw control allows to follow the wind direction.



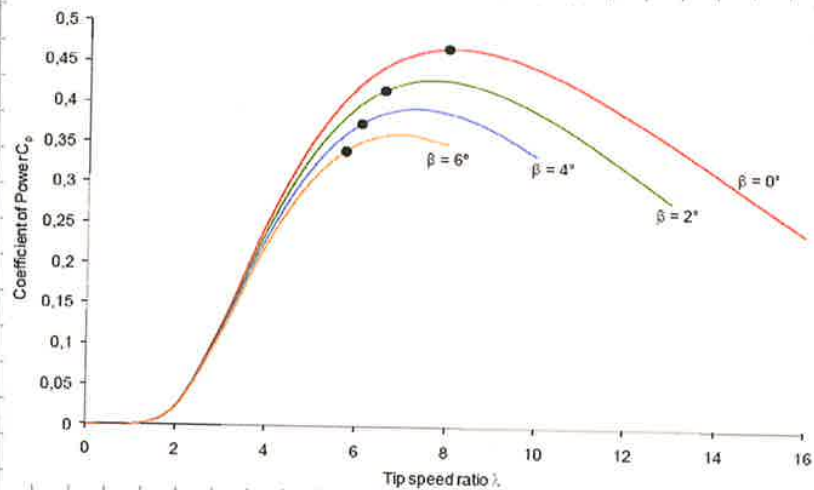
Now we have to define a relation between efficiency and the tip speed ratio: the COEFFICIENT OF POWER  $C_p(\lambda)$

$$C_p = \frac{P_{mec}}{P_{wind}}$$

$$P_{wind} = \frac{1}{2} \rho A U^3$$

For  $\alpha > 20^\circ$  there are stall phenomena

With wind at low-medium level it's better to optimize the efficiency, instead for increasing wind speed it's better to increase the torque



We can assume that  $V = \omega R$  is constant, because  $\omega$  is fixed due to the electric frequency of the grid (for a fixed-speed wind turbine)

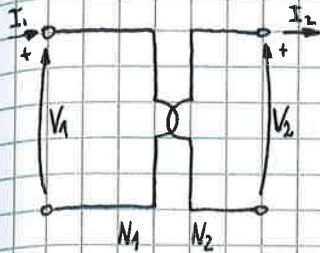
If we assume  $\beta$  constant (no pitch control),  $V$  constant and  $U$  increasing obviously also  $\alpha$  increase; with high  $\alpha$  there's STALL

## ELECTRICAL ASPECTS

The electric machine is an induction generator with a squirrel cage rotor

The magnetic flux is  $B \cdot S$  (induction · surface)

The equivalent circuit is similar to that of the transformer



Ideal transformer

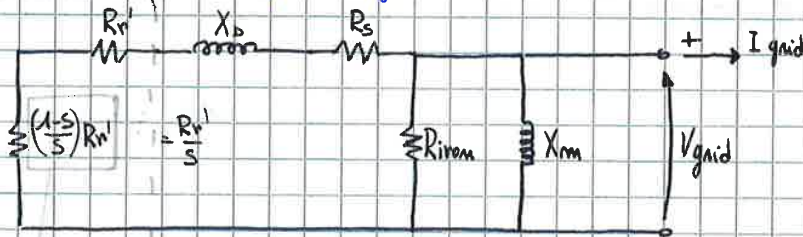
$$t = \frac{N_1}{N_2} \quad \frac{V_1}{V_2} = t \quad \frac{I_2}{I_1} = t \quad V_1 I_1 = V_2 I_2$$

$$\vec{B} = \mu \vec{H}$$

induction      magnetic field

An induction machine is characterized by different causes of losses and non-idealities:

- Joule losses in the stator and rotor windings (can be represented by a resistance in series)
- leakage fluxes (inductive reactance in series)
- Iron losses due hysteresis and eddy currents (resistance in parallel)
- Remarkable magnetizing current (inductive reactance in parallel)



This is the simplified single phase equivalent circuit of an INDUCTION MACHINE

this is a resistance that represents the resistance to the conversion

The iron losses and the magnetizing current are negligible

$$P_{iron} = 3 \frac{V_{grid}^2}{R_{iron}} \approx 0 \rightarrow R_{iron} \approx \infty$$

$$I_m = \frac{V_{grid}}{X_m} \approx 0 \rightarrow X_m \approx \infty$$

$s$  is the slip

it measures the deviation of magnetic field speed ( $\frac{\omega_0}{p}$ ) with respect to the rotor speed ( $\omega_{mec}$ )

$$s = \frac{\frac{\omega_0}{p} - \omega_{mec}}{\frac{\omega_0}{p}}$$

where  $\omega_0 = 3000 \text{ rpm}$

and  $p = \text{pole pairs}$

$p$	$\frac{\omega_0}{p}$ [rpm]
1	3000
2	1500
3	1000
4	750
5	600
6	500

If the induction machine is operating as a motor the slip range is from 0 to 1

$s=0$  means  $\omega_{mec} = \frac{\omega_0}{p} \rightarrow$  NO load operation, NO TORQUE

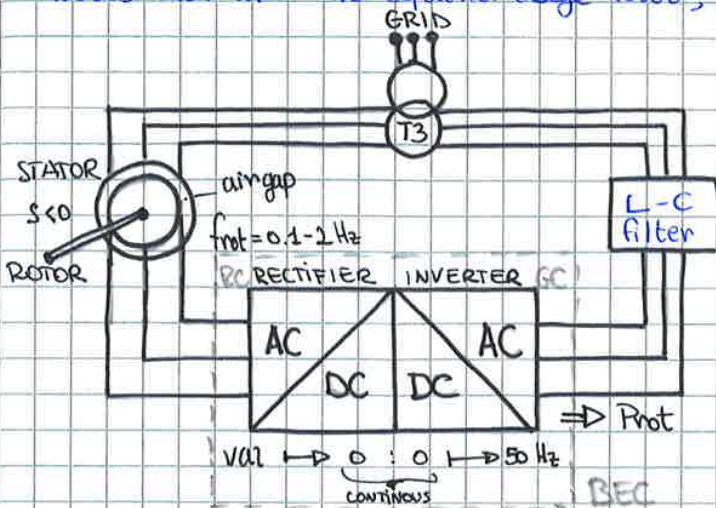
$s=1$  means  $\omega_{mec} = 0 \rightarrow$  LOCKED ROTOR, starting condition

If it's operating as a generator the slip is less than 0  $\rightarrow$  super-synchronous operation

## DOUBLY FED INDUCTION GENERATOR (DFIG)

Is the solution for the variable speed wind turbine

It works not with the squirrel cage rotor, but with a wound rotor (cost ⬆)



Both rotor and stator are connected to the grid (3-phase)

There are brushes to connect the rotor windings with the stator windings

$f_{rot}$  is proportional to  $\frac{r}{p} \cdot \omega_{mech}$

We can have different slip, but it's better to have slip close to 0

Higher  $P_{rot}$  with negative slip

With this solution we have to add an APPARENT RESISTANCE to take into account the use of double converter

$$I_T = \frac{U_{fm}}{\sqrt{(R_s + \frac{R_r'}{s} + \frac{R_{app}'}{s})^2 + X_d^2}}$$

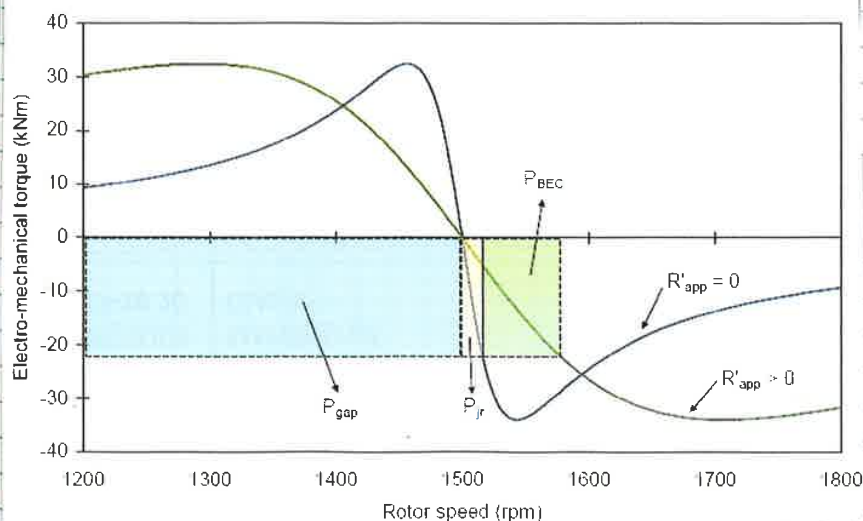
$U_{fm}$ : rms phase-to-neutral  $U_d = \sqrt{3} U_{fm}$

but now

$$P_{gap} = 3 \frac{R_r' + R_{app}'}{s} I_T^2$$

$$P_{mech} = 3 \frac{1-s}{s} (R_r' + R_{app}') I_T^2$$

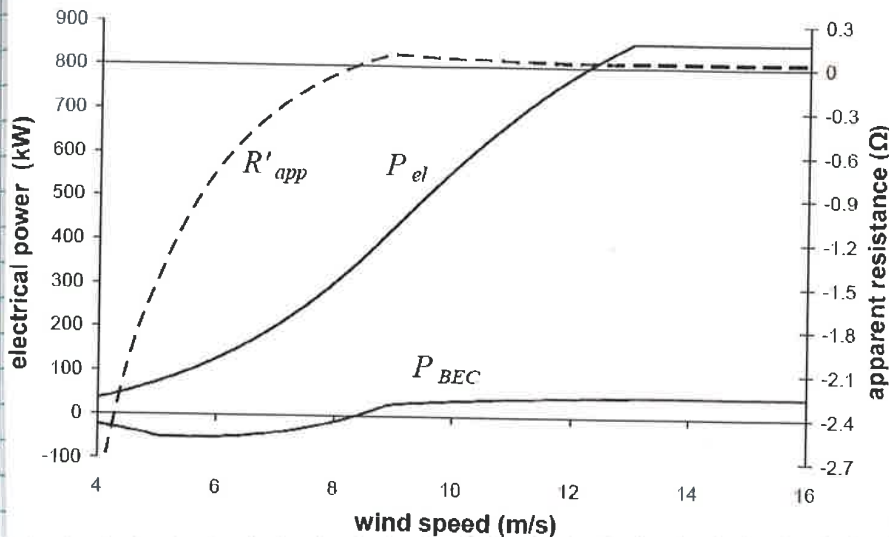
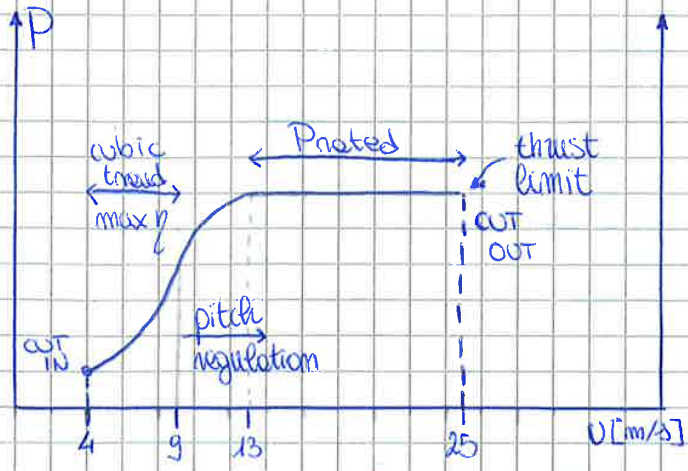
$$P_{BEC} = 3 R_{app}' I_T^2$$



Increasing the resistance the stable region has a lower slope

The  $P_{BEC}$  is a power recovery into the grid

There's also another limit at about 25 m/s, the thrust limit, in which we have the max load for the tower

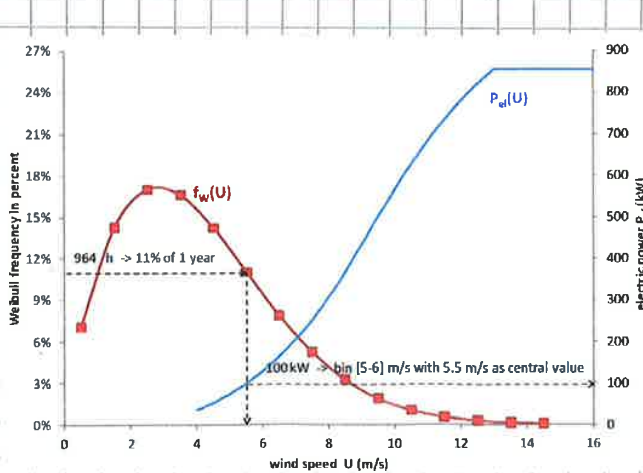


The suitable BEC control by the apparent resistance for achieving the desired electrical power

### CALCULATION OF THE ENERGY PRODUCTION

$$E_{AC} = \alpha \sum_{k=4}^{25} P_k(u) \quad \text{for every wind speed } u$$

The turbulence of the wind causes a little loss in the  $P_{max}$ , so the manufacturer provides a curve (for  $P_{max}$ ) that's calculated with a value of turbulence



$$E_{AC} = 8760 \cdot \sum_{k=4}^{25} P_k(u) \cdot f_w(u) \quad \left[ \frac{\text{kWh}}{\text{y}} \right]$$

Weibull distribution, it's the wind frequency

$$\lambda_{final} = \frac{E_{AC}}{P_{rated}}$$

it's a sort of equivalent hours of work at  $P_{max}$

$$CF = \frac{\lambda_{final}}{8760}$$

it's the capacity factor

to have a return on investment we need a  $CF > 15\%$

The calculation of energy production is performed by the product, for every wind speed, of the electric power and the wind frequency curve